

# Office of Industry Engagement and Conflicts of Interest

## ADJUNCT FACULTY

### ATTESTATION OF COMPLIANCE WITH INSTITUTIONAL POLICIES

|                                                                  |                                                                                                                                       |                          |  |
|------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------|--|
| Faculty Name:                                                    |                                                                                                                                       | Department/Division:     |  |
| Primary Email Address:                                           |                                                                                                                                       | Non-Sinai Email Address: |  |
| Name of Outside Entity/Entities with Primary Relationship:       |                                                                                                                                       |                          |  |
| Reason for Adjunct                                               | <input type="checkbox"/> Education <input type="checkbox"/> Research <input type="checkbox"/> Other _____ <i>Check all that apply</i> |                          |  |
| Chair's Rationale for Adjunct Appointment<br>(must be completed) |                                                                                                                                       |                          |  |

| I                        | Adjunct Faculty Member Attestation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
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| <input type="checkbox"/> | <p>I accept the adjunct appointment. I have read and understand the relevant policies posted in the <a href="#">Faculty Handbook</a>, <a href="#">Business Conflicts of Interest Policy</a>, and the <a href="#">Mount Sinai Insider Non-Trading Policy</a>:</p> <ul style="list-style-type: none"> <li>I understand that my appointment is for a period of 1 years and is renewable on an annual basis. .</li> <li>I attest that there will be complete separation of my Mount Sinai institutional commitments and responsibilities as an adjunct faculty member from my outside academic appointment/employment/financial interests.</li> <li>I attest that my activities at Mount Sinai will be provided without compensation.</li> <li>I attest that I will not utilize Mount Sinai resources or confidential information, including patient data, for purposes other than the conduct of required activities related to my adjunct appointment.</li> <li>I attest that I will not share any Mount Sinai confidential information that I might learn of while on campus (e.g. - patient data, intellectual property, proprietary policies, unpublished research data, and/or planned investments) with any outside entity.</li> <li>I attest that I am responsible for completing my COI Disclosure Profile in <a href="#">eDMS</a> on an annual basis. I understand that if I do not complete my financial disclosure profile, my adjunct appointment will be rescinded.</li> <li>I understand that for research funded by grants received by Mount Sinai, I cannot be Principal Investigator unless approved by the funding agency and the dean. (For example, if I am closing out my research projects due to my transition from a Mount Sinai full/part-time faculty member to an adjunct faculty).</li> <li>I understand that for research funded by Mount Sinai, I cannot be Principal Investigator.</li> <li>I understand that any request to use the Mount Sinai PPHS as the IRB of record for independent projects for which I am the proposed PI, will be in accordance with the <a href="#">Request for ISMMS to Serve (R2S) as the Reviewing IRB</a> policy.</li> <li>I understand that I cannot directly participate in laboratory research at Mount Sinai without a separate agreement in place with MSIP.</li> <li>I understand I can only use my Mount Sinai affiliation on publications/presentations for work done at Mount Sinai or in collaboration with Mount Sinai. Consistent with the <a href="#">Mount Sinai Name Usage Policy</a>, the Mount Sinai name and logo cannot be used for other or personal purposes without prior written approval by the Marketing Team.</li> <li>I understand that any inventions made using Mount Sinai resources, whether or not patentable, are and shall be the sole property of Mount Sinai.</li> <li>I understand I am subject to the Mount Sinai <a href="#">Intellectual Property Policy</a>, unless I have written approval of exemption from Mount Sinai Innovation Partners (MSIP).</li> </ul> <p>Adjunct Faculty's Signature: _____ Date: _____</p> |

| II                       | Department Chair/Institute Director                                                                                                                                           |
|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <p>Division Chief (Dept. of Med) Printed Name: _____ Signature: _____ Date: _____</p> <p>Department Chair of Institute Director: Name: _____ Signature: _____ Date: _____</p> |

| III                      | (For Dean's Office Use Only)                                                                                                                                                                                            |
|--------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> | <p>The proposed adjunct faculty member has completed the eDMS Disclosure Profile. The Office of Industry Engagement &amp; COI has reviewed the information.</p> <p>Printed Name: _____ Signature: _____ Date: _____</p> |